

# YOUR FIRST MEETING CHECKLIST

The initial meeting is a great opportunity for your advisor to get to know you. It's also a great opportunity for you to get to know your advisor. Here are some helpful questions and guidelines to help make sure you both make the most of it.

## WHAT TO ASK

- How long have you been working as an advisor?
- What are your educational and professional certification qualifications?
- Do you have any areas of focus or specialties?
- Have you worked with other investors with similar situations to mine?
- Will you work with other professionals assisting me, such as my accountant and lawyer?
- How often and by what means will you communicate with me?
- How will I be able to monitor my plan and track investment performance?
- What happens if changes in my life warrant updates to my plan?
- How are you compensated for your services?

## WHAT TO BRING

<input type="checkbox"/>	Statements from pensions or other employer-benefit income
<input type="checkbox"/>	Social Security statement(s) or check stub(s)
<input type="checkbox"/>	Two most recent federal income tax returns
<input type="checkbox"/>	Statements from bank accounts (summary pages)
<input type="checkbox"/>	Statements from investment/brokerage accounts
<input type="checkbox"/>	Statements from retirement accounts and employer-sponsored benefit programs (401(k), 403(b), 457, etc.)

<input type="checkbox"/>	Statements from annuities
<input type="checkbox"/>	Outstanding balances of loans (mortgage, auto, credit card, business, equity line, etc.)
<input type="checkbox"/>	Estate planning documents (will, power of attorney, trust, etc.)
<input type="checkbox"/>	Life and disability insurance policies
<input type="checkbox"/>	Long-term care insurance policies
<input type="checkbox"/>	Contact information of your CPA and attorneys or other financial professionals (tax and estate) if applicable



**K E N D R I C K**

W E A L T H M A N A G E M E N T

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